I hereby certify that this document is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Dated: 2114 101 Signature: 4

Docket No.: 00101-137-USP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Richard J. Helferich

Application No.: 09/688,282

Filed: October 13, 2000

For: SYSTEM AND METHOD FOR DELIVERING

INFORMATION TO A TRANSMITTING AND

RECEIVING DEVICE

I-137-U(PATENT)

RECEIVED

Technology Center 2600

Group Art Unit: 1645

Examiner: Not Yet Assigned

### REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has the following errors:

Applicant's middle initial should be capitalized and the title should be "SYSTEM AND METHOD FOR DELIVERING INFORMATION TO A TRANSMITTING AND RECEIVING DEVICE".

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-1734 under Order No. 00101-137-USP. A duplicate copy of this paper is enclosed.

Dated: February 14, 2001

Respectfully submitted,

Martin M. Zoltick

Registration No.: 35,745

ZOLTICK TECHNOLOGY LAW GROUP,

**PLLC** 

21515 Ridgetop Circle, Suite 200

Loudoun Tech Center Sterling, Virginia 20166

(571) 434-7260

Attorneys for Applicant

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Service as First Class Mail, in an envelope addressed to: Office of Initial Trademarks, Washington, DC 20231, on the date shown below.

Signature:

DUPLICATE

Docket No.: 00101-137-USP

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Richard J. Helferich

Application No.: 09/688,282

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(571) 434-7260

Attorneys for Applicant





COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231 www.uspta.gov

GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS APPLICATION NUMBER FILING DATE 1645 19529-037 12 09/688,282 10/13/2000 2684

RECEIVE JUING RECEIPT

Mintz Levin Cohn Ferris Glovsky and Popeo PC 11911 Freedom Drive Suite 400 Reston, VA 20190

MAY 0 8 200 FOCOOO000005726759°

Technology Center 2600

Date Mailed: 02/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Richard i. Helferich, Chapel Hill, NC;

**Continuing Data as Claimed by Applicant** 

THIS APPLICATION IS A DIV OF 08/933,344 09/19/1997

**Foreign Applications** 

If Required, Foreign Filing License Granted 02/01/2001

\*\* SMALL ENTITY \*\*

Title

SYSTEM AND METHOD FOR DELIVERING INFORMATION TO A TRANSMITTING AND RECEIVING DEVICE Transmitting and receiving device

**Preliminary Class** 

455

Data entry by : GARNETT, SANDRA

Team: OIPE

Date: 02/01/2001

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/688,282				
Filing Date	October 13, 2000				
First Named Inventor	Richard J. Helferich				
Group Art Unit	1645				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	00101-137-USP				

ENCLOSURES (check all that apply)									
Fee Transn	iital Form	Assignment Papers (for an Application)	After Allowance Communication to Group						
Fee A	ttached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences						
Amendment	t/Reply	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After I	Final	Petition	Proprietary Information						
Affidavits/declaration(s)		Petition to Convert to a Provisional Application	Status Letter						
Extension of Time Request		Revocation and New Power of Attorney	X Other Enclosure(s) (please identify below)						
Express Abandonment Request		Terminal Disclaimer	Request for Corrected Filing Receipt						
Information Disclosure Statement		Request for Refund	11000.pt						
Certified Copy of Priority Document(s)		CD, Number of CD(s)							
Response to Missing Parts/ Incomplete Application		Remarks							
	onse to Missing Parts 37 CFR 1.52 or 1.53								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT									
Firm or Individual Name	ZOLTICK TECHNOLOGY LAW GROUP, PLLC Martin M. Zoltick								
Signature	Wart ?								
Date	Feb 14, 2001								
	***								

#### Transmittal

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Dated: 2/14/01 Signature: Licki X. Hudson (Vicki L. Hudson)







# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023 www.uspto.gov

Bib Data Sheet

**CONFIRMATION NO. 7019** 

SERIAL NUMBER 09/688,282	FILING DATE 10/13/2000 RULE	С	<b>LASS</b> 455	GROU	UP ART UNIT 2684		ATTORNEY DOCKET NO. 19529-037			
APPLICANTS Richard J. Helferich, Chapel Hill, NC;										
** CONTINUING DATA **********************************										
** FOREIGN APPLICATIONS ************************************										
IF REQUIRED, FOREIGN FILING LICENSE  ** SMALL ENTITY **  GRANTED ** 02/01/2001										
Foreign Priority claimed  35 USC 119 (a-d) conditions			STATE OR COUNTRY NC			TOTA CLAII 70	MS	INDEPENDENT CLAIMS 24		
ADDRESS Martin M. Zoltick ZOLTICK TECHNOLOGY LAW GROUP, PLLC 21515 Ridgetop Circle, Suite 200 Loudon Tech Center Sterling ,VA 20166										
TITLE										
System and method for delivering information to a transmitting and receiving device  All Fees										
	•				1.16 Fees (Filing)					
FILING FEE FE	FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT				1.17 Fees ( Processing Ext. of time )					
1645 No	o for following			1.18 Fees ( Issue )						
				Other						
						redit				

Approved for use through 10/31/2002. OME OF U.S. Patent and Trademark Office: US. DEPARTMENT OF Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless the paperwork Reduction Act of 1995, no persons are required to a collection of information unless the pape Please type a plus sign inside this box **Application Number** 09/688 October (RANSMITTAL **Filing Date** Richard J. Helferich **FORM First Named Inventor** Group Art Unit 1645 used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Total Number of Pages in This Submission Attorney Docket Number 00101-137-USP ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment/Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a Provisional Affidavits/declaration(s) Status Letter Application Revocation and New Power of Other Enclosure(s) **Extension of Time Request** (please identify below) Attorney Change of Correspondence **Express Abandonment Request** Terminal Disclaimer Address and Attorney Docket Number Information Disclosure Statement Request for Refund Certified Copy of Priority CD, Number of CD(s) Document(s) Response to Missing Parts/ RECEIVED Incomplete Application Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 FEB 2 2 2001 **Technology Center 2600** SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm ZOLTICK TECHNOLOGY LAW GROUP, PLLC Martin M. Zoltick Individual Name Signature Date Feb 14, 2001 Transmittal I hereby certify that this document is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Dated: 214101 Signature: (Vicki L. Hudson)

120